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Complaint received by the SEC OIG; Hotline Report No. 2025099601

1 message

OIG <OIG@sec.gov> Tue, Sep 30, 2025 at 8:00 AM
To: bmaioho@gmail.com <bmaioho@gmail.com>

Thank you for contacting the U.S. Securities and Exchange Commission (SEC) Office of Inspector General (OIG). We received the report of your complaint.

The SEC OIG is an independent office within the SEC that conducts audits and evaluations of SEC programs and operations and investigates allegations of fraud, waste, or abuse at or against the SEC. We will evaluate the information provided and determine an appropriate action. Options include opening an OIG review; referring the matter to a SEC Division or Office for review, if warranted; and taking no further action. In this regard, please note the following:

- The OIG derives its authority from the Inspector General Act of 1978, as amended. In accordance with that statute, we cannot perform SEC operating responsibilities, such as investigation of alleged securities law violations.
- Our authority is limited to issues that relate to SEC programs, operations, and personnel.
- We have no authority to direct SEC action with regard to SEC operations, such as (1) change its policies, (2) commence or conclude any particular investigation, (3) implement new securities rules for market participants, (4) initiate administrative disciplinary action, or (5) approve whistleblower awards.
- Due to privacy interests, we do not provide complainants with updates on, or the results of, a complaint or investigative matter. However, our audit reports are published on www.sec.gov/office-inspector-general.
- General questions about the Federal securities laws and complaints about financial investment professionals can be directed to the SEC's Office of Investor Education and Advocacy at www.sec.gov/oiea/QuestionsAndComments.html, www.sec.gov/oiea/Complaint.html, help@sec.gov, 202-551-6500 or 1-800-732-0330. [Note: The SEC staff cannot act as a personal representative or attorney. Thus, they cannot represent investors and may be unable to assist you in private disputes with other parties.]
- Allegations of Federal securities law violations should be reported using the online Web form located at www.sec.gov/tcr. You should detail how the individual(s) violated U.S. Federal securities laws. Tips, Complaints, and Referral Filing Guidance is available at www.sec.gov/complaint/info. [Note: SEC investigations are conducted confidentially. As a result, the SEC generally will not confirm or deny the existence of an investigation unless and until it becomes a matter of public record. www.sec.gov/answers/investg.htm.]

Respectfully,

The Office of Inspector General

U.S. Securities and Exchange Commission

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